

**Sustainable Banking Global Survey:  
Organizations with Sustainable Banking Programs and Resources<sup>1</sup>**

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**Introduction**

The purpose of this survey is to provide examples of environmental, social and governance (ESG) policies and programs promoted by banking organizations, banking programs of national governments, banking programs of international organizations, banking programs of institutional investors, and related organizations worldwide.

**International Organizations**

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<sup>1</sup> My thanks to contributors. This document is in the public domain.

**UNEP Finance Initiative Banking Commission**

Geneva

- [http://www.unepfi.org/about/structure/steering\\_committee/index.html](http://www.unepfi.org/about/structure/steering_committee/index.html)**UNEP Sustainable Energy Finance Initiative**

Paris, Basel

- <http://sefi.unep.org/>**UN Global Compact**

New York

- report: *Future Proof? Embedding environmental, social and governance issues in investment markets* (January 2009)- [http://www.unglobalcompact.org/NewsAndEvents/news\\_archives/2009\\_01\\_28.html](http://www.unglobalcompact.org/NewsAndEvents/news_archives/2009_01_28.html)**International Finance Corporation**

- Washington, DC

- Performance Standards

- <http://www.ifc.org/ifcext/sustainability.nsf/Content/PerformanceStandards>- **IFC's Global Corporate Governance Forum**

- Washington, DC

- report: *Governing Banks*; November 2010- [http://www.gcgf.org/ifcext/cgf.nsf/Content/Governing\\_Banks](http://www.gcgf.org/ifcext/cgf.nsf/Content/Governing_Banks)**UN Secretary-General's High-Level Advisory Group on Climate Change Financing**

New York

- <http://www.un.org/wcm/content/site/climatechange/pages/financeadvisorygroup>**International Monetary Fund**

Washington, DC

Staff Position Note *Financing the Response to Climate Change* March 2010- <http://www.imf.org/external/pubs/ft/spn/2010/spn1006.pdf>**Bank for International Settlements**

Basel

- <http://www.bis.org/>- report: *Principles for enhancing corporate governance* (October 2010)- <http://www.bis.org/publ/bcbs176.htm>commentary: <http://socialfunds.com/news/article.cgi/3105.html>

**Beyond Banking: Banking on Global Sustainability** (since 2009)  
**Inter-American Development Bank**  
 Washington, DC

- <http://www.iadb.org/Resources/business/beyondBanking/>

## **Global Banking Networks**

**Equator Principles Association**

- <http://www.equator-principles.com/>

- [http://www.csrwire.com/press\\_releases/30932-The-Equator-Principles-Association-Launches-Strategic-Review](http://www.csrwire.com/press_releases/30932-The-Equator-Principles-Association-Launches-Strategic-Review)

**Global Alliance for Banking on Values**

Zeist, The Netherlands

- <http://www.gabv.org/>

**International Association of Social Finance Organizations**

Brussels

- <http://www.inaise.org/>

## **Global Reporting Networks**

**Global Reporting Initiative: Financial Services Sector**

Amsterdam

<http://www.globalreporting.org/reportingframework/sectorsupplements/financialservices>

**Carbon Disclosure Project**

London

- banks, other investors: <https://www.cdproject.net/en-US/Programmes/Pages/CDP-Investors.aspx>

## **Global Banking Networks on Climate Due Diligence**

**The Climate Principles**

London, New York, other locations

- <http://www.theclimategroup.org/programs/the-climate-principles/>

**The Carbon Principles**

New York

- <http://carbonprinciples.org/>

## **Global Banking Due Diligence**

**Bankers Almanac**

East Grinstead, United Kingdom

- <http://www.bankersalmanac.com/>

**Networks for Banking Research and Advocacy****BankTrack**

Nijmegen, The Netherlands

- report: *Close the Gap*; April 2010

- [http://www.banktrack.org/show/focus/close\\_the\\_gap](http://www.banktrack.org/show/focus/close_the_gap)

**SOMO: Centre for Research on Multinational Corporations**

Amsterdam

- report: **Investing Responsibly: A Financial Puzzle**

- [http://somo.nl/publications-en/Publication\\_3578/](http://somo.nl/publications-en/Publication_3578/)

**Friends of the Earth, Utopies, Inrate Rankings for French Banks and Climate**

- <http://www.inrate.com/Site/News-Events/News/Inrate's-know-how-used-for-the-first-carbon-rankin.aspx>

- rankings: <http://www.epargneclimat.fr/>

**Regional Banking Organizations****Arab African International Bank**

Cairo

- <http://www.aaib.com/CorporateSocialRes/CorporateSocial.aspx?PageId=2>

- Equator Principles member: <http://www.aaib.com/News/NewsDetails.aspx?ID=44>

**Ecobanking Project**

Bogota

<http://www.ecobanking.com/EN/>

**European Investment Bank**

European Union

Luxembourg

Corporate Responsibility: <http://www.eib.org/about/cr/>

**National Government Banking Organizations****China Development Bank**

**Beijing**

- <http://www.cdb.com.cn/english/index.asp>

- Social Responsibility Reports (since 2007):

- <http://www.cdb.com.cn/english/Column.asp?ColumnId=190>

- collaboration with UNEP Finance Initiative:

- <http://www.unepfi.org/>

**Development Bank of Japan**

Tokyo

- <http://www.dbj.jp/en/co/csr/index.html>

**Norges Bank Investment Management**

Oslo

- <http://www.nbim.no/>

- <http://www.regjeringen.no/en/dep/fin/press-center/Press-releases/2010/New-guidelines-for-responsible-investment-practices-in-the-Government-Pension-Fund-Global-GPFG.html?id=594246>

**US National Credit Union Administration**

Alexandria, VA

- <http://www.ncua.gov/>

**Bond Markets****World Bank**

- World Bank sustainable investing program (since 2008)

- [http://treasury.worldbank.org/cmd/htm/about\\_sustainable.html](http://treasury.worldbank.org/cmd/htm/about_sustainable.html)

**US Government**

- US Government creates *Build America Bonds*, provides \$106 billion as of June 2010 (since April 2009)

- <http://www.ustreas.gov/press/releases/tg733.htm>

**Asian Development Bank**

- Asian Development Bank announces water bond market (February 2010)

- <http://www.adb.org/bond-investors/>

**International Monetary Fund**

- International Monetary Fund Staff Position Note *Financing the Response to Climate Change* (March 2010)

- <http://www.imf.org/external/pubs/ft/spn/2010/spn1006.pdf>

**International Financial Corporation**

- International Finance Corporation launches \$200 million Green Bond program for climate-related investments (April 2010)

- [http://www.ifc.org/ifcext/media.nsf/Content/IFCGreenBond\\_Apr2010](http://www.ifc.org/ifcext/media.nsf/Content/IFCGreenBond_Apr2010)

### **UK Government**

- UK Green Investment Bank Commission releases report *Unlocking investment to deliver Britain's low carbon future* (June 2010)

- <http://www.climatechangepublications.com/thinktank/ccc-thinktank/publications.aspx>

### **European Bank for Reconstruction and Development**

- European Bank for Reconstruction and Development launches Environmental Sustainability Bonds (December 2010)

- <http://www.ebrd.com/pages/news/press/2010/101206a.shtml>

- <http://www.ebrd.com/pages/workingwithus/capital/bonds.shtml>

## **National Banking Associations**

### **American Bankers Association**

Washington, DC

- risk management: [http://www.aba.com/Training/offerings\\_riskcompliance.htm](http://www.aba.com/Training/offerings_riskcompliance.htm)

### **China Banking Association**

Beijing

- <http://www.china-cba.net/>

- CSR Guidelines (English): <http://www.internationalrivers.org/en/node/3831>

### **Danish Bankers' Association**

Copenhagen

- <http://csr.finansraadet.dk/content/dk3/english>

### **Germany: Verein für Umweltmanagement und Nachhaltigkeit in Finanzinstituten Association for Environmental Management Banks, Savings Banks and Insurance**

Augsburg

- <http://www.vfu.de/>

### **Italian Banking Association**

Rome

- <http://www.abi.it/>

- [http://www.csreurope.org/solutions.php?action=show\\_solution&solution\\_id=131](http://www.csreurope.org/solutions.php?action=show_solution&solution_id=131)

### **Korea Federation of Banks**

Seoul

- [http://www.kfb.or.kr/kfb\\_eng\\_09/functions/functions05.htm](http://www.kfb.or.kr/kfb_eng_09/functions/functions05.htm)

**Banking Association of Mexico**

- **Social Responsibility and Sustainability Report 2009**

- <http://www.abm.org.mx/descargas/folleto2009-ev.pdf>

**Pakistan Banks Association**

- *IFC Helps Publish Sustainable Finance Guidelines in Pakistan*; February 16, 2010

- <http://www.ifc.org/>

**Spanish Confederation of Savings Banks**

Madrid

- <http://www.ceca.es/en/>

**Multilateral Development Banks****Inter-American Development Bank**

Washington, DC

Beyond Banking Program

- <http://www.iadb.org/news/detail.cfm?language=EN&id=5952&artid=5952>

**International Finance Corporation****World Bank**

Washington, DC

- Financial Institution Sustainability for Commercial Banks

- [http://www.ifc.org/ifcext/sustainability.nsf/Content/SustainableFinance\\_CommercialBank](http://www.ifc.org/ifcext/sustainability.nsf/Content/SustainableFinance_CommercialBank)

- IFC report *Banking on Sustainability: Financing Environmental and Social Opportunities in Emerging Markets* (2007)

- <http://www.ifc.org/publications/>

**Investor Networks****Eurosif**

Paris

- report: [http://www.eurosif.org/publications/sector\\_theme\\_reports/banking](http://www.eurosif.org/publications/sector_theme_reports/banking)

**Ceres**

Boston

report: *Corporate Governance and Climate Change: The Banking Sector* (January 2008)

- <http://www.ceres.org/Page.aspx?pid=1047>

**African Carbon Asset Development Facility**

Partnership of UNEP, German Federal Environment Ministry, Standard Bank

Johannesburg

- <http://www.acadfacility.com/>

## Awards

### **Financial Times, International Finance Corporation Sustainable Banking Awards** (since 2006)

London

- <http://www.ftconferences.com/sustainablebanking/>

### **The Banker** (since 1926)

Financial Times

London

- <http://www.thebanker.com/>

- Climate and Sustainability: News, Reports, Awards

- [http://www.thebanker.com/news/categoryfront.php/id/704/Climate\\_\\_\\_Sustainability.html](http://www.thebanker.com/news/categoryfront.php/id/704/Climate___Sustainability.html)

### **Green Banking Innovation Award** (since 2008)

**Economic Observer Magazine**; award jury of nine environmental organizations

China

- <http://www.banktrack.org/show/memborgroups/greenwatershed>

## Research Providers

### **oekom research AG**

Munich

-report: *Commercial Banks & Capital Markets* (June 2009)

[http://www.oekom-research.com/index\\_en.php?content=research-news](http://www.oekom-research.com/index_en.php?content=research-news)

-report: *Public & Regional Banks* (August 2010)

- [http://www.oekom-research.com/index\\_en.php?content=research-news](http://www.oekom-research.com/index_en.php?content=research-news)

-report: *Development Banks* (August 2010)

[http://www.oekom-research.com/index\\_en.php?content=research-news](http://www.oekom-research.com/index_en.php?content=research-news)

### **Ethics Metrics LLC**

Charlottesville, VA

-independent ratings on ethics, fiduciary conduct, and regulatory compliance by U.S. financial holding companies (since 2002)

-<http://www.ethicsmetrics.com/>



## Research and Training

### **PRI Academic Network**

- <http://academic.unpri.org/>

### **Sustainable Investment Research Platform**

Umea University

Sweden

- <http://www.sirp.se/>

### **Institute for Social Banking**

Bochum

Germany

- <http://www.social-banking.org/>

### **Responsible Investment Academy**

Sydney

- <http://www.riacademy.org/>

### **Danish Institute for Human Rights**

Copenhagen

report: *Values Added: The Challenge of Integrating Human Rights into the Financial Sector;*  
2010

- <http://humanrightsbusiness.org/>

### **American Bankers Association**

#### **Stonier Graduate School of Banking**

University of Pennsylvania

Philadelphia, PA

- <http://www.abastonier.com/>

## Professional Organizations

### **BAFT-IFSA**

#### **Bankers' Association for Finance and Trade–International Financial Services Association**

Washington, DC

- <https://www.ifsaonline.org/>

### **Global Association of Risk Professionals**

Jersey City, NJ; London

- <http://www.garp.org/>

### **Bankers Without Borders**

Washington, DC

- <http://bankerswithoutborders.com/>

## Professional Service Providers

### **Ethics Metrics LLC**

Charlottesville, VA

<http://www.ethicsmetrics.com/>

<http://www.ethicsmetrics.com/whitepapers.php>

### **Pricewaterhouse Coopers Global Sustainability**

Virginia

- <http://www.pwc.com/gx/en/sustainability/industries/banking-and-capital-markets.jhtml>

### **SAS Institute**

Cary, North Carolina

- *Sustainable Banking* Paper: [http://www.sas.com/resources/whitepaper/wp\\_24356.pdf](http://www.sas.com/resources/whitepaper/wp_24356.pdf)

## Publications

- *Sustainable Banking* (since 2009)

*The Financial Times*

London

- <http://www.ft.com/reports/sustainable-banking-2009>

- <http://www.ft.com/reports/sustainable-banking-2010>

- <http://www.ft.com/intl/special-reports>

- *The Banker* (since 1926)

*The Financial Times*

London

- <http://www.thebanker.com/>

- Climate & Sustainability

[http://www.thebanker.com/news/categoryfront.php/id/704/Climate\\_Sustainability.htmlainability](http://www.thebanker.com/news/categoryfront.php/id/704/Climate_Sustainability.htmlainability)

- *Sustainable Banking: Risk, Reward and the Future of Finance*; Edited by Joti Mangat, International Finance Review of Thomson Reuters Professional Publishing; 2010 (\$695.00)

- pdf summary: [http://www.ifrmarketintelligence.com/userfiles/file/sustainable\\_banking.pdf](http://www.ifrmarketintelligence.com/userfiles/file/sustainable_banking.pdf)

- authors:

[http://www.ifrmarketintelligence.com/strategy\\_reports/sustainable\\_banking\\_risk\\_reward\\_and\\_the\\_future\\_of\\_finance/about\\_the\\_authors.aspx](http://www.ifrmarketintelligence.com/strategy_reports/sustainable_banking_risk_reward_and_the_future_of_finance/about_the_authors.aspx)

- *Management & Excellence – The Power of Sustainability*

Madrid and Sao Paulo

- <http://www.management-rating.com/>

- M&E Sixth Annual Bank Sustainability Ratings, 2010:

[http://www.csreurope.org/data/files/For\\_news/pr\\_me\\_lf\\_banking\\_study\\_nov\\_2010\\_eng.pdf](http://www.csreurope.org/data/files/For_news/pr_me_lf_banking_study_nov_2010_eng.pdf)

## **Key International Organizations and Programs for Future Partnerships**

### **- UNEP Finance Initiative**

Geneva

- <http://www.unepfi.org/>

### **- UN Global Compact**

New York

- <http://www.unglobalcompact.org/>

### **- UN Principles for Responsible Investment**

- Geneva

- <http://www.unpri.org/>

### **- International Finance Corporation**

Washington, DC

- <http://www.ifc.org/>

### **- Global Corporate Governance Forum**

International Finance Corporation

Washington, DC

- <http://www.gcgf.org/>

### **- International Monetary Fund**

- <http://www.imf.org/>

### **- International Organization of Securities Commissions**

Madrid

- <http://www.iosco.org/>

### **- World Federation of Exchanges**

Paris

- <http://www.world-exchanges.org/>

- <http://www.world-exchanges.org/sustainability/>

### **- Six Regional Stock Exchange Federations**

- Asia: <http://www.aosef.org/>

- Euro-Asia: <http://www.feas.org/>

- Europe: <http://www.fese.eu/en/>

- South Asia: <http://www.safe-asia.com/index.php>
- Americas: <http://www.fiabnet.org/>
- Africa: <http://www.africansea.org/>

**- International Association of Insurance Supervisors**

Basel

- <http://www.iaisweb.org/>

**- Association for Sustainable & Responsible Investment in Asia**

Hong Kong

- <http://www.asria.org/>

**- Equator Principles Association**

- <http://www.equator-principles.com/>

- review: [http://www.csrwire.com/press\\_releases/30932-The-Equator-Principles-Association-Launches-Strategic-Review](http://www.csrwire.com/press_releases/30932-The-Equator-Principles-Association-Launches-Strategic-Review)

**- Global Alliance for Banking on Values**

The Netherlands

- <http://www.gabv.org/>

**- Bank for International Settlements**

- <http://www.bis.org/>

**- OECD Working Party on Government Debt Management**

Paris

- <http://www.oecd.org/daf/publicdebtmanagement>